

Docket No. 244104US0X CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Masayuki MOTONARI, et al.

SERIAL NO: New Continuation of 09/816,397

GAU:

FILED: HEREWITH

EXAMINER:

FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. The references were cited on forms PTO-1449 and PTO-892 in parent U.S. Application No. 09/816,397, which was filed March 26, 2001.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

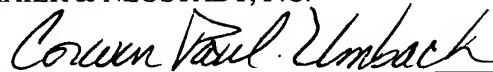
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449<br>(Modified)  |                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><b>244104US0X CONT</b> |              | SERIAL NO.<br>New Continuation of<br>09/816,397 |  |
|--|--------------------|--|----------|---|--------------|---|--|
| LIST OF REFERENCES CITED BY APPLICANT  |                    |  |          | APPLICANT<br>Masayuki MOTONARI, et al.    |              |   |  |
| FILING DATE<br>HEREWITH  |                    |  |          | GROUP                                     |              |   |  |
| <b>U.S. PATENT DOCUMENTS</b>   |                    |  |          |   |              |   |  |
| EXAMINER<br>INITIAL  | DOCUMENT<br>NUMBER | DATE   | NAME     | CLASS                                     | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE                   |  |
|  | AA                 | 5,967,964  | 10/19/99 | M. HATTORI, et al.                        |              |   |  |
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|  | AF                 | 5,756,398  | 05/26/98 | J. F. WANG, et. al.                       |              |   |  |
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| <b>FOREIGN PATENT DOCUMENTS</b>  |                    |  |          |   |              |   |  |
|  | DOCUMENT<br>NUMBER | DATE   | COUNTRY  | TRANSLATION<br>YES      NO                |              |   |  |
|  | AP                 | 10-116804  | 05/06/98 | JAPAN (w/English Abstract)                |              |   |  |
|  | AQ                 | 9-82668  | 03/28/97 | JAPAN (w/English Abstract)                |              |   |  |
|  | AR                 | 4-291722   | 10/15/92 | JAPAN (w/English Abstract)                |              |   |  |
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| Examiner   |                    |  |          | Date Considered                           |              |   |  |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                    |  |          |   |              |   |  |